

## Improving Patient Safety in Long-Term Care Facilities:

## **Falls Prevention and Management**

Supplemental Material to Accompany the Webinar

The first three Webinars in the series Improving Patient Safety in Long-Term Care Facilities: Introduction to the Webinar Series, Detecting Change in a Resident's Condition, and Communicating Change in a Resident's Condition are available on demand for CE and have no registration fee. The courses can be accessed at: <u>http://ce.ahrq.gov/nurses/</u>

The Instructor Guide can be found at: <u>http://www.ahrq.gov/professionals/systems/long-term-care/resources/facilities/ptsafety/ltcinstructor.html</u>.

A PDF version of the Instructor Guide can be downloaded and printed by clicking on the download button at the top of the page.

The Instructor Guide comprises all three modules, including suggested slides, pretests, and posttests to gauge the student's knowledge level before and after training. Separate Student Workbooks are available for each module.

#### **Ordering Information**

Printed copies of the Instructor Guide and student modules can be ordered separately or as a set from the AHRQ Publications Clearinghouse.

To request copies of the printed materials, send an e-mail to the AHRQ Publications Clearinghouse at <u>AHRQPubs@ahrq.hhs.gov</u> or call 1-800-358-9295. Be sure to specify the AHRQ Publication number when ordering.

#### **Instructor Materials**

The 96-page Instructor Guide can be ordered separately or as a complete set that includes one copy of the Instructor Guide and one copy each of the Student Workbooks for Modules 1, 2, and 3.

Single copies are free; charges may apply for additional quantities and for shipping to addresses outside the United States. There are no copyright issues with this material. You may print additional copies from the Web site if you want to avoid the charges associated with ordering multiple copies.

- **Instructor Guide**, 96 pp. (AHRQ publication no. 12-0001-1) (describes how to use the materials in the Student Workbooks as a teaching session, including suggested slides, pretests, and posttests to gauge the student's knowledge level before and after training).
- Instructor Set (AHRQ Publication no. 12-0001) (includes one instructor guide and one copy each of the three Student Workbooks).



#### **Student Materials**

Separate Student Workbooks are available for each module. The workbooks can also be ordered separately or as a Student Workbook set. Copies of the Student Workbooks are also included in the Instructor Set.

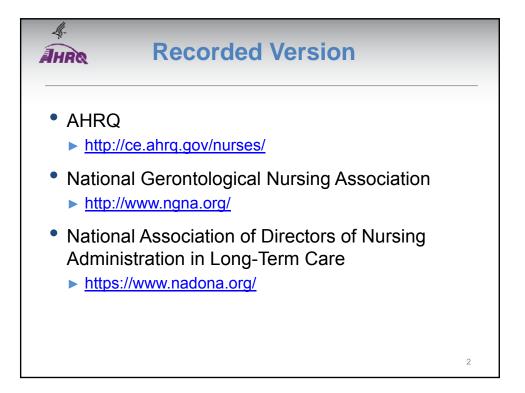
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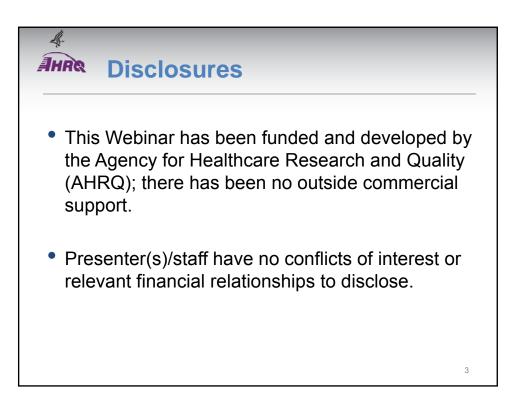
- Module 1. Detecting Change in a Resident's Condition: Student Workbook, 20 pp (AHRQ Publication No. 12-0001-2). Available to download at: <a href="http://www.ahrq.gov/professionals/systems/long-term-care/resources/facilities/ptsafety/ltcmodule1.html">http://www.ahrq.gov/professionals/systems/long-term-care/resources/facilities/ptsafety/ltcmodule1.html</a>
- Module 2. Communicating Change in a Resident's Condition: Student Workbook, 19 pp (AHRQ Publication No. 12-0001-3). Available to download at: <u>http://www.ahrq.gov/professionals/systems/long-term-</u> <u>care/resources/facilities/ptsafety/ltcmodule2.html</u>
- Module 3. Falls Prevention and Management: Student Workbook, 19 pp (AHRQ Publication No. 12-0001-4). Available to download at: <u>http://www.ahrq.gov/professionals/systems/long-term-care/resources/facilities/ptsafety/ltcmodule3.html</u>
- **Student Workbook Set** (includes one copy each of the Student Workbooks for the three-module set) (AHRQ Publication No. 12-0001-5).

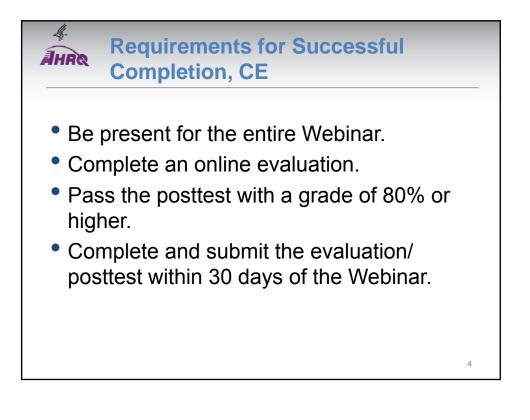
#### Additional Resources:

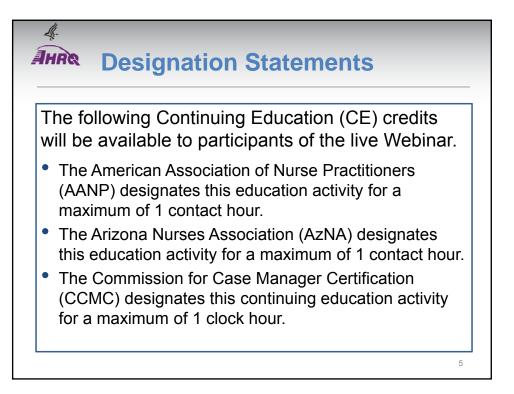
The following Website provides helpful resources related to the PDSA cycle described in the Webinar: <u>http://www.ihi.org/resources/Pages/Tools/PlanDoStudyActWorksheet.aspx</u>

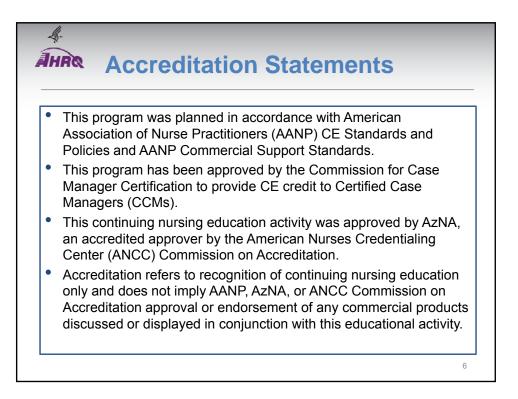


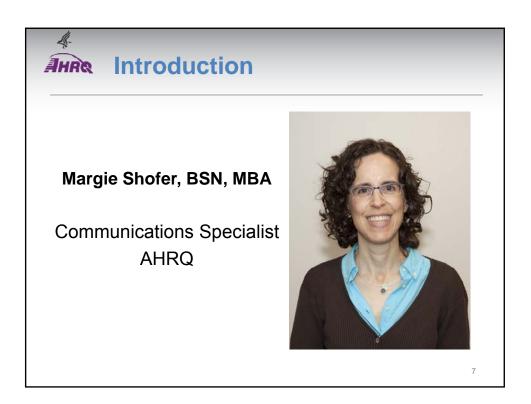


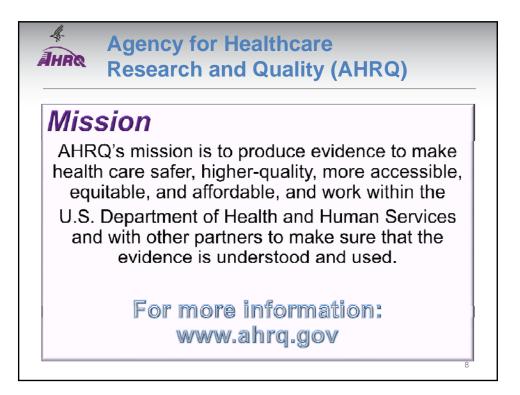


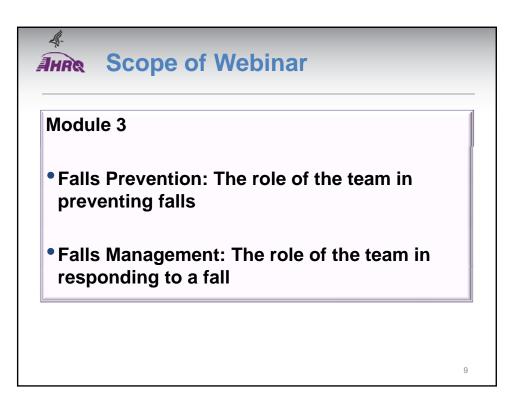




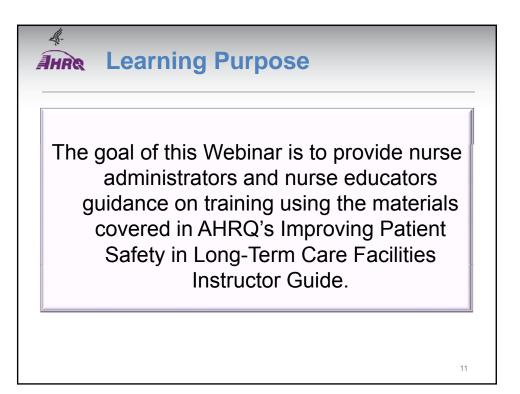


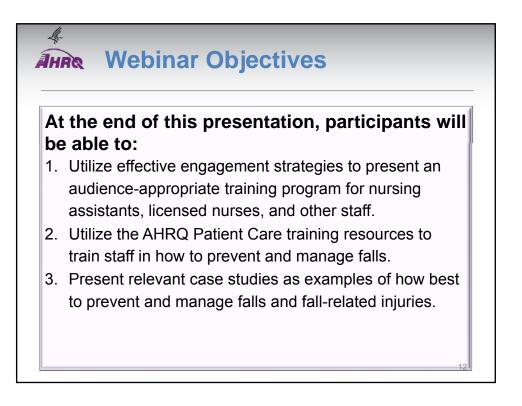


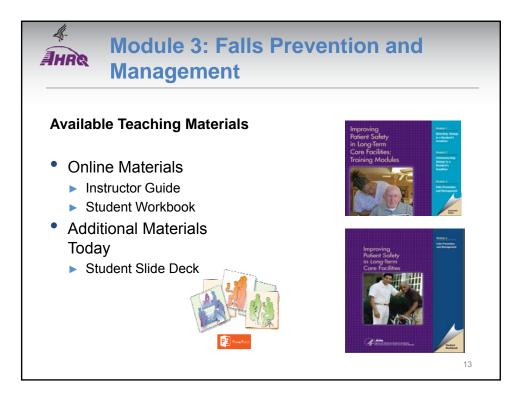


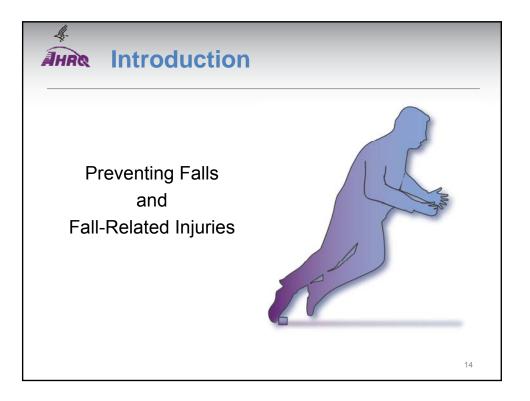


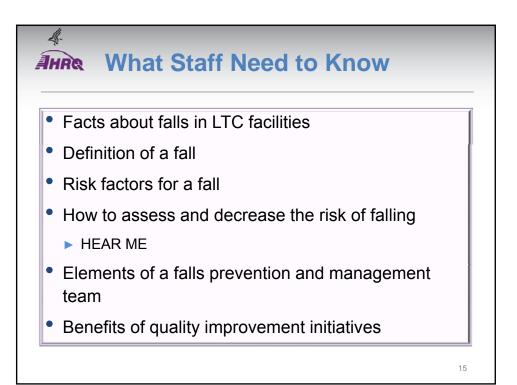


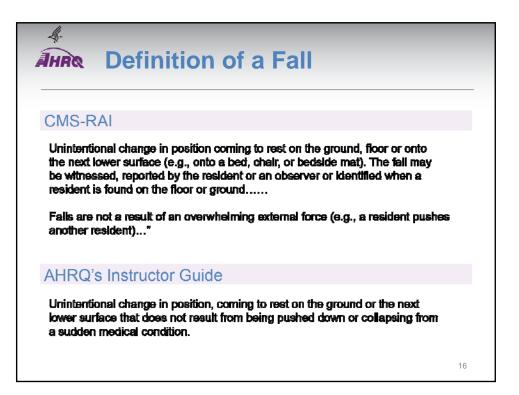


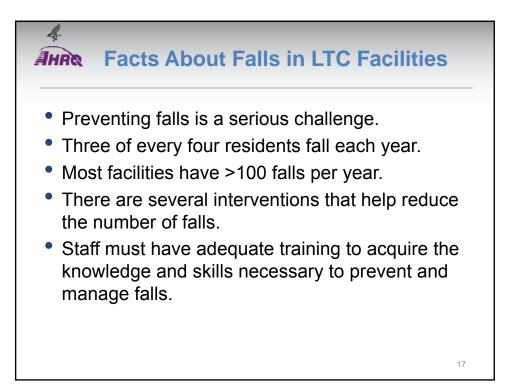


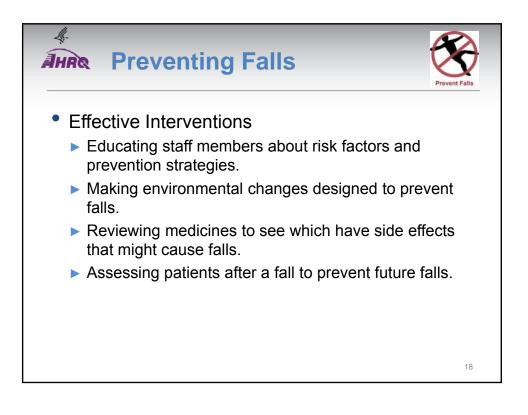




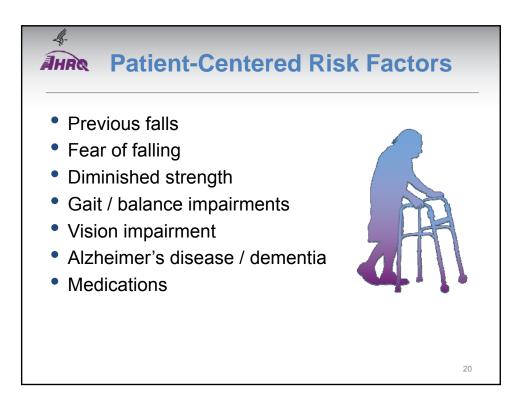


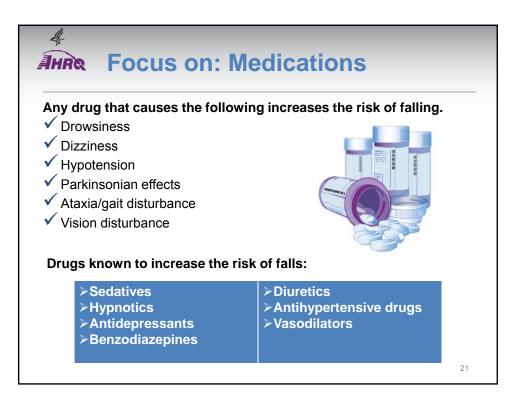


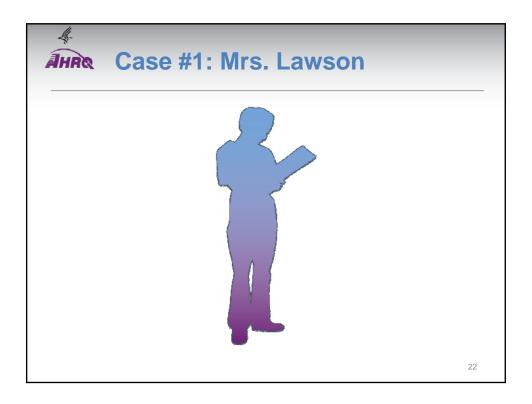


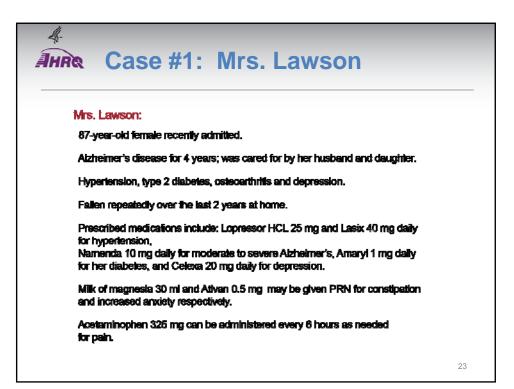


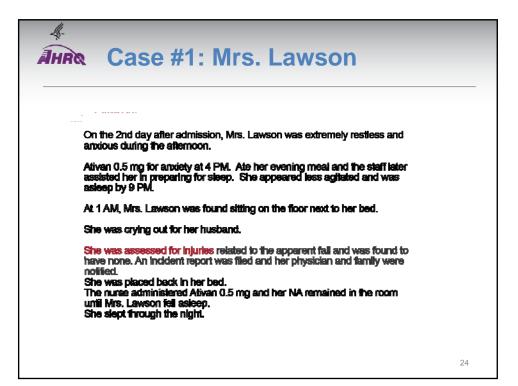


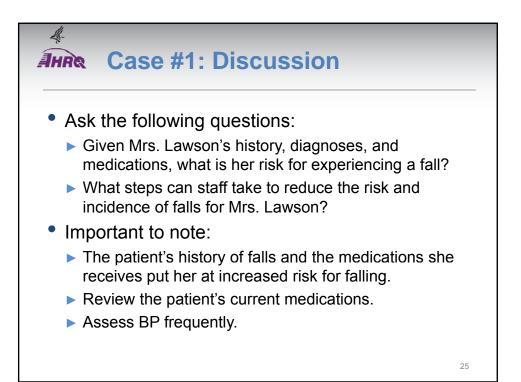


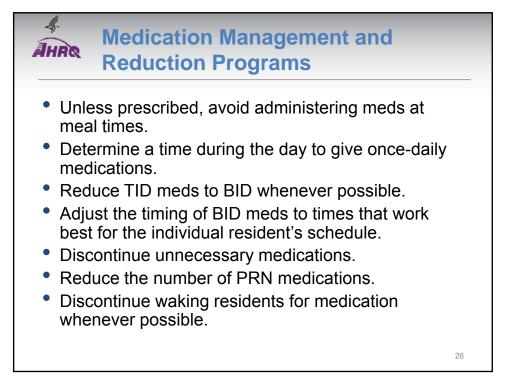


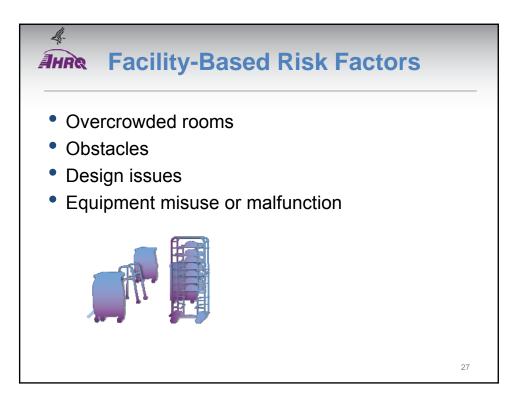




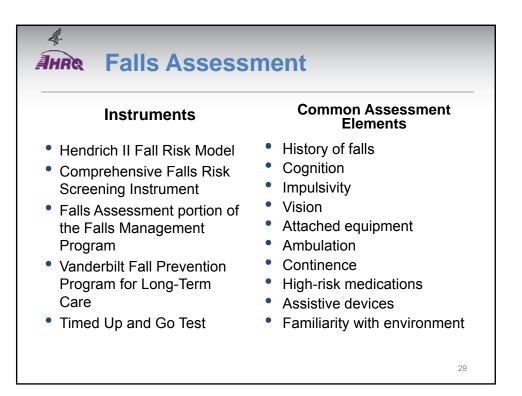


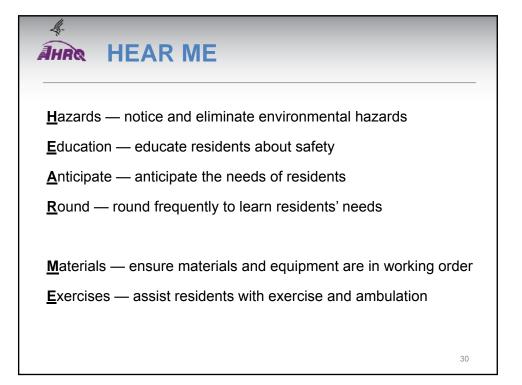


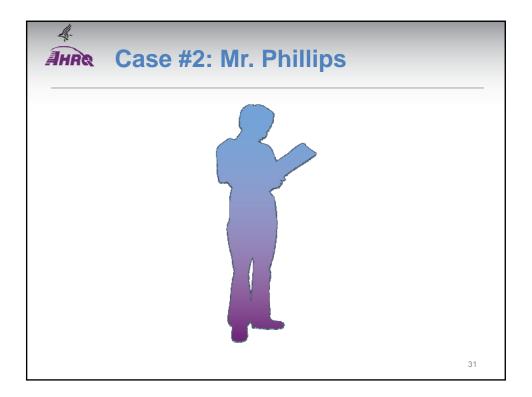


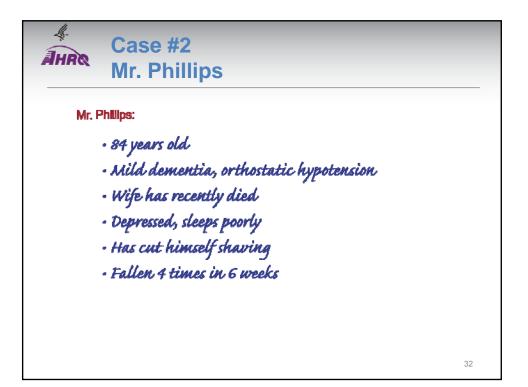


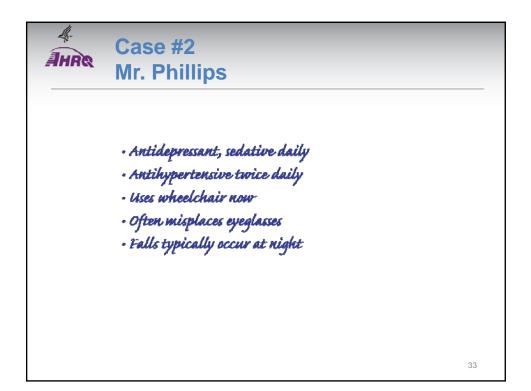


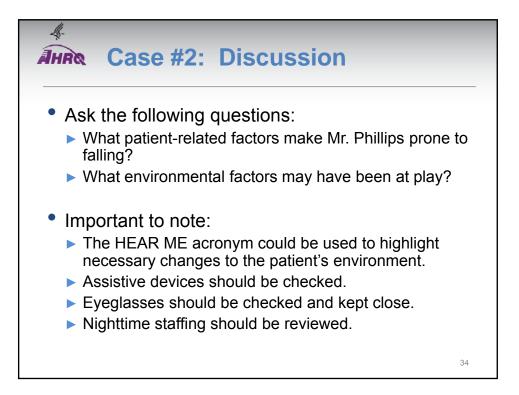


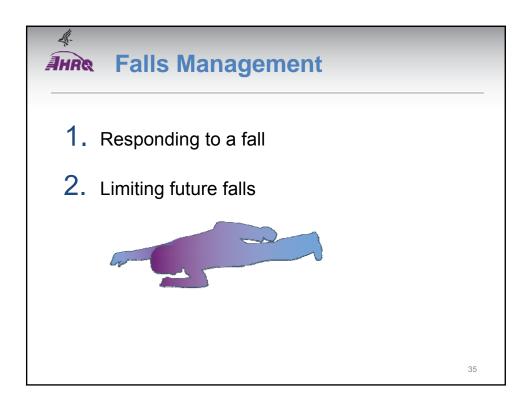




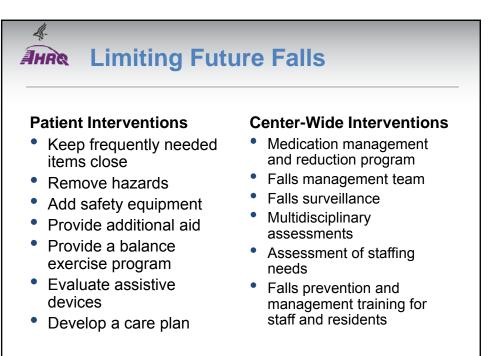




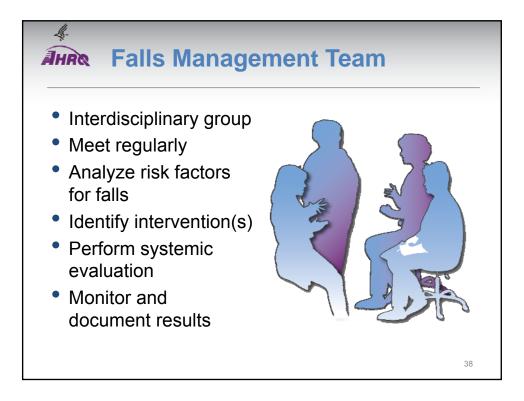


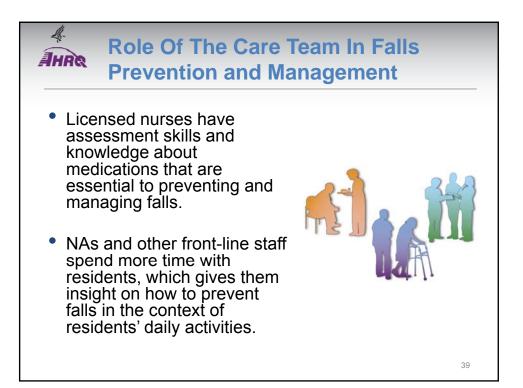


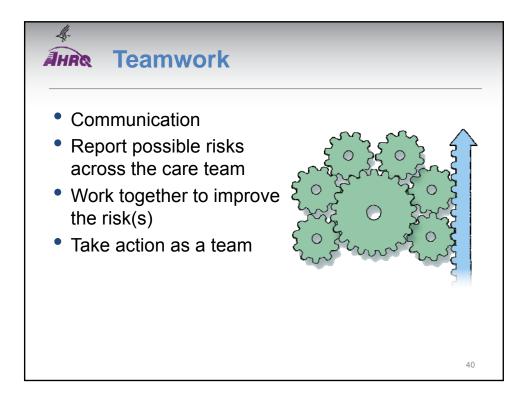


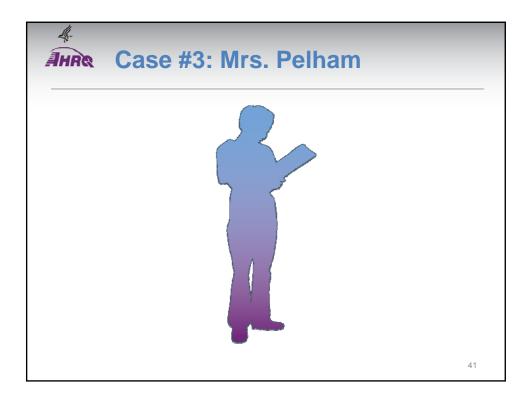


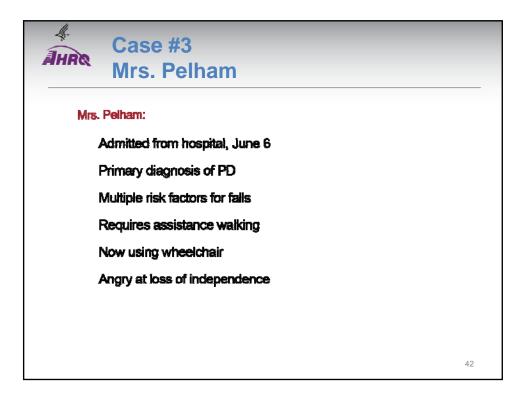
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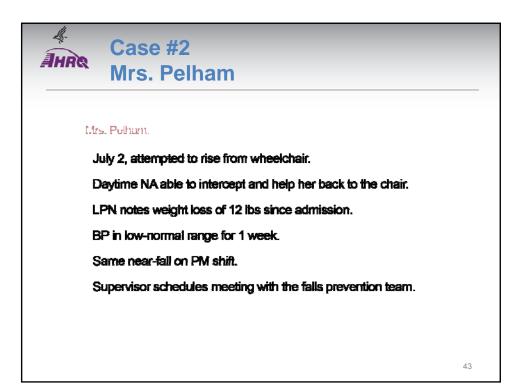


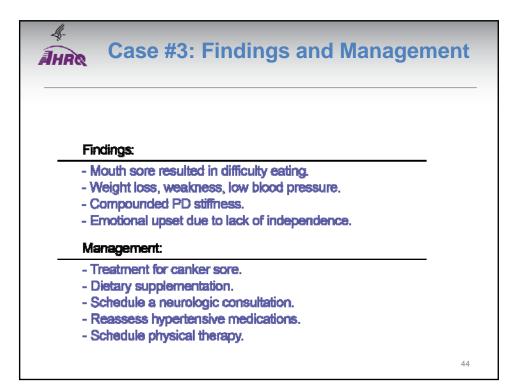


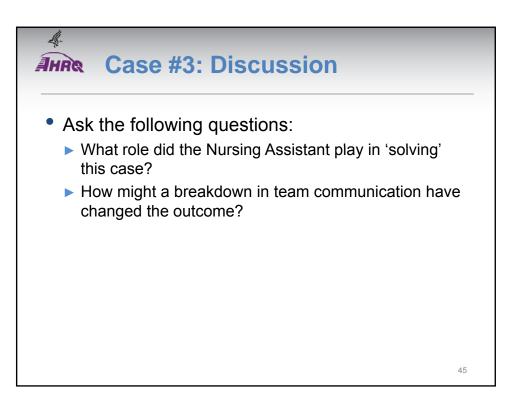


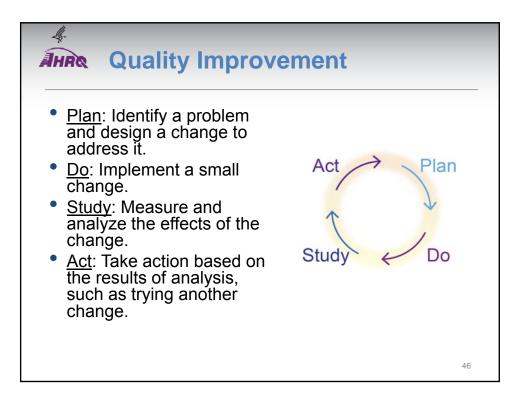


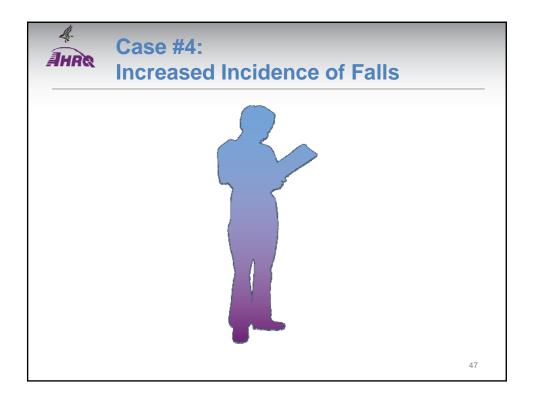


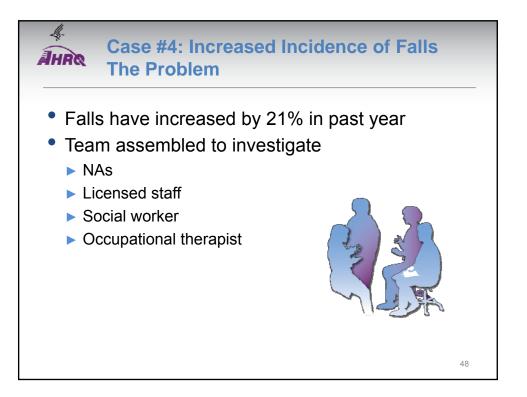


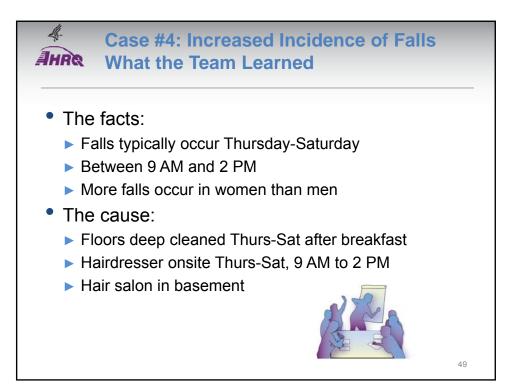


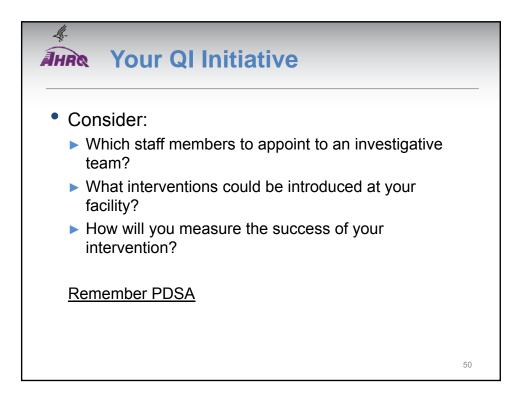


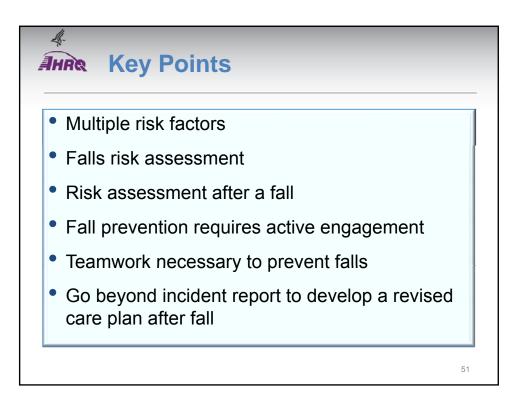


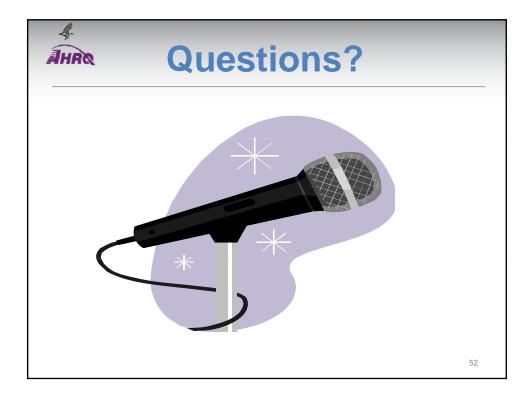


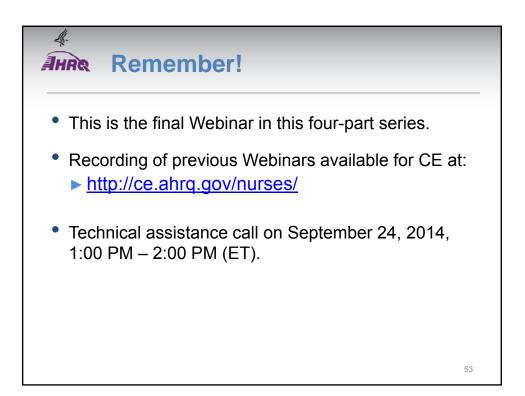


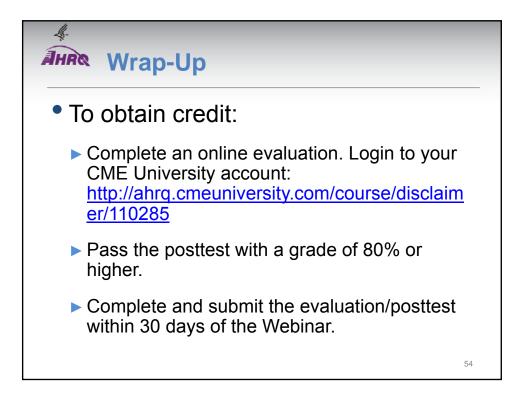


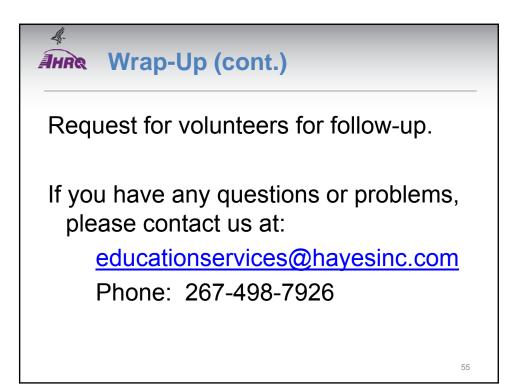














## **Questions & Answers**

- 1. Q: Considering that most attendees list communication problems as the primary cause of falls, could you expand on strategies for improving communication around falls?
  - A: It is interesting that a large number of attendees of this Webinar listed communication problems as a cause for falls within their facilities. This validates the importance of addressing communication issues in depth. We devoted two Webinars in this patient safety series to addressing communication challenges, and offered interventions and solutions to this clearly universal issue. Two important aspects of effective communication within long-term care settings are the appropriate detection of changes in a resident and the subsequent appropriate reporting and documentation of those changes to direct interventions that will address the change/problem. To provide the most comprehensive answer to this question, we recommend that you access the previous Webinars in this series, which are available on the AHRQ Web site at: <a href="http://ce.ahrq.gov/nurses/">http://ce.ahrq.gov/nurses/</a>.

# 2. Q: Do you measure the success of your interventions by the decrease in falls or using the Plan/Do/Study/Act (PDSA) process?

A: The PDSA is a Quality Improvement (QI) process that provides a template for change within an organization. It helps the QI team to create a plan (P) that addresses a need and then to implement (D) an intervention for that need. The team then analyzes (S) the effects of the intervention to determine whether it should be incorporated (A) as a standard in your facility. A decrease in the number of falls both per resident and for the organization is an appropriate metric to determine the success of a falls prevention/management intervention. The PDSA process is a vehicle to bring about change.

# 3. Q: Any help with a team who cannot develop any more new interventions on a resident who has fallen 10 times this year?

- A: Frequent falls are a frustrating problem for caregivers and a serious safety concern for the individual resident and his or her family. Appropriate steps include:
  - Appropriate documentation and reporting of the incidents.
  - A thorough assessment/investigation into the cause of the falls.
  - The introduction of strategies to reduce the number of falls, including:
    - A review, by a falls prevention team, of the resident's history of falls, medications, underlying disorders, and possible cognitive changes as described in this Webinar.
    - The falls prevention team should include those caregivers that know the resident best, and representatives from physical and occupational therapy, as well as social services, who can really concentrate efforts in developing a plan to minimize the number of falls.

Perhaps this individual case could be the target of a QI project that would explore the root cause of this situation. The QI team could take time outside of the busy daily schedule in the nursing unit to explore all aspects of the resident's situation, including the physical, emotional, psychological, and social influences that may have an impact on the number of falls for this person.



#### 4. Q: How long will the modules be available to review? Q: Will the slides be posted on the AHRQ Web site?

A: The August 13 Webinar was recorded and will be converted into an enduring learning activity available for continuing education (CE) for a 2-year period. The recording will be available on August 27, 2014, at <a href="http://ce.ahrq.gov/nurses/">http://ce.ahrq.gov/nurses/</a>. Supplemental materials, including a set of <a href="PowerPoint\_PowerPoint\_stides">PowerPoint\_stides</a> for use in training the module to staff, as well as a <a href="http://enandout">handout</a> containing information on how to order and download the AHRQ materials, were provided as part of the live Webinar and will also be available with release of the recording.

#### 5. Q: Is there any consideration for adopting the NDNQI falls definitions used by hospitals?

A: The ANA – NDNQI (National Database for Nursing Quality Indicators) provides an inclusive definition for falls as: an unplanned descent to the floor (or extension of the floor, e.g., trash can or other equipment) with or without injury. All types of falls are included, whether they result from physiological or environmental reasons (*Patient Safety and Quality: An Evidence-based Handbook for Nurses, 2008*). Long-term care facilities providing skilled services must adhere to the CMS (Centers for Medicare & Medicaid Services) definition as follows: a fall is an "unintentional change in position coming to rest on the ground, floor or onto the next lower surface (e.g., onto a bed, chair, or bedside mat)... Falls are not a result of an overwhelming external force (e.g., a resident pushes another resident)." The definition also describes an intercepted fall as a fall that "occurs when the resident would have fallen if he or she had not caught him/herself or had not been intercepted by another person—this intercepted fall is still considered a fall."

These definitions are similar in intent and both define the process of the physical fall, acknowledging that falls can occur as a result of patient-related, environmental, or organizational factors.

## 6. Q: Just wondering how many callers are on this call today? If from the Long-Term Care (LTC) or acute care facilities?

A: There were 177 people in attendance at the live Webinar, and we believe there were others who chose to attend in groups. We do not know how many of the attendees were from LTC versus acute care facilities, but our belief is that the majority of attendees were likely from a LTC facility.

#### 7. Q: SBAR Tool is also a good tool for communication between staff.

A: The SBAR (Situation, Background, Assessment, Request) tool is an excellent communication tool that was highlighted in the previous Webinar in this series. More than just a reporting tool, the SBAR aims to improve communication between staff, specifically between nursing staff and physicians. It is designed to enhance the evaluation of, and documentation for, residents who have an acute change in condition. Once a change in condition has been identified and it has been determined that a physician needs to be notified, a nurse completes the SBAR. The SBAR can be used as a paper tool; it is also increasingly being used electronically for integration into a patient's electronic medical



record (EMR). Typically, the SBAR becomes part of a patient's medical record and includes a progress note. To find out more about the SBAR tool and other communication tools, including the STOP and WATCH and CUS (Concern, Uncomfortable, Safety) tools, access the previous Webinar in this series at <a href="http://ahrq.cmeuniversity.com/course/disclaimer/110249">http://ahrq.cmeuniversity.com/course/disclaimer/110249</a>.

8. Q: September 23 (first day of Fall) is National Falls Prevention Awareness Day; might be good to highlight this and frame education efforts around this national initiative; google National Council on Aging for more info.

A: Thank you for this comment. The Falls Prevention Awareness Day Web site can be found at <u>http://www.ncoa.org/improve-health/center-for-healthy-aging/falls-prevention/falls-prevention-awareness.html</u>. This year's theme is Strong Today, Falls Free® Tomorrow, and seeks to raise awareness about how to prevent fall-related injuries among older adults.

- 9. Q: how can information technology help and assist with preventing falls? How are folks using their health IT solutions?
  - A: The use of information technology, along with sensor and game technology, is a growing area for research and innovation for falls prevention in the aging population. Electronic risk assessment tools and analysis of individualized patient risk factors are enabling the development of customized interventions to reduce falls. Globally, research products are being developed that utilize technology to assess, monitor, and motivate health care providers and patients to improve mobility and decrease the risk of falls. The following links provide a sampling of some exciting and innovative projects that address the use of technology in falls management:
    - AHRQ Health Care Innovations Exchange available at: <u>http://innovations.ahrq.gov/content.aspx?id=3094</u>
    - AHRQ Patient Safety Network available at: <u>http://psnet.ahrq.gov/resource.aspx?resourceID=19724</u>
    - International Journal of Medical Informatics available at: <u>http://www.ijmijournal.com/article/S1386-5056(14)00058-6/abstract?cc=y?cc=y</u>
    - iStoppFalls project available at: <u>http://www.istoppfalls.eu/cms/front\_content.php</u>
- 10. Q: What do you think of the recommendation by Empira in their falls training to stop doing fall risk assessments routinely and consider all residents at risk for falls on admission and put interventions in place based on their known risk factors and root cause analyses post falls?
  - A: Empira is a collaborative effort of older adult service providers in Minnesota with a primary activity that is "to research, develop and implement customized clinical pathways and educational programs from the most recent evidence based practices in the field of gerontological research." They have developed educational programs in several clinical areas, including falls prevention. In recommending that all residents in long-term care facilities be considered as "at risk for falls," Empira is helping to expand awareness of patient safety to the entire facility population and to



encourage the trend toward individualized interventions based on the actual needs of the resident. With this philosophy in mind, care providers should be better able to apply safety measures for falls prevention in a customized manner that will result in more appropriate care for each resident based on patient needs.

#### 11. Q: What is your experience with the STEADI program?

A: The STEADI (Stopping Elderly Accidents, Deaths & Injuries) program is a toolkit developed by the CDC for health care providers who treat older adults living independently at home or in assisted living settings. The majority of these materials would also be very useful in nursing and rehabilitation facilities. The STEADI program is a comprehensive assessment, training, and educational resource that is easy to navigate and use. All of the information within the toolkit is offered in PDF format and is easily downloadable and printable for use in primary care treatment settings. There is no cost for the program. The STEADI Falls Risk Checklist document and the Integrating Falls Prevention into Practice document might be of particular interest when implementing a falls prevention and management program. In addition, the case studies provided for staff training pertain to community-based patients, but again, would also be useful in generating discussion about assessing and determining care for residents in facilities. The STEADI toolkit includes the standardized gait and balance assessment tests. The presentation of the documents and the "how-to" videos clips that accompany the documents are great teaching tools for staff. You can access the STEADI program at:

http://www.cdc.gov/homeandrecreationalsafety/Falls/steadi/index.html.